



## CERTIFICATE OF MAILING

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Rhonda A. Etienne S 31 105
Rhonda A. Etienne Date

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gholam A. Peyman

Serial No.:

10/667,161

Filed:

September 19, 2003

Group Art Unit:

1615

Confirmation No.:

8452

Examiner:

Unknown

Title:

**OCULAR SOLUTIONS** 

Attorney Ref.:

PMAN-25

Cincinnati, Ohio 45202

May 31, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §\$1.97 and 1.98, the references listed on the attached form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Because the requirement (37 C.F.R. §1.98(a)(2)(i)) for submitting

copies of U.S. patents and U.S. patent application publications has been waived, copies of only the foreign cited references are enclosed herewith.

The Examiner is urged to consider the cited references listed on the attached form PTO-1449, and to make an independent decision with respect to materiality.

It is submitted that the cited references do not disclose or render obvious the subject matter claimed in the present application.

Applicant does not believe there is any fee due with this submission.

Should any fees or surcharges be deemed necessary, Examiner has authorization to charge fees or credit any overpayment to Deposit Account No. 23-3000. The Examiner is invited to telephone the undersigned attorney if there are any questions or issues.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Beverly A. Lyman, Ph.D.

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2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 513 241-2324 513 421-7269 (facsimile)

| Sheet  | 4   | (A) | of | 2 |
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SUBSTITUTE FORM PTO-(MODIFIED)

S. DEPARTMENT OF COMMERCE ATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. PMAN/25

SERIAL NO. 10/667,161

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

<u> 111N</u> 0 2 2005

Gholam Peyman FILING DATE

**APPLICANT** 

September 19, 2003

**GROUP** 1615

(37 CFR 1.98(b))

(Use several sheets if necessary)

|                     |     |               |   |   |            |    |               |     | U.S. I             | PATENT D | OCUMENTS                              |                               |          |                         |
|---------------------|-----|---------------|---|---|------------|----|---------------|-----|--------------------|----------|---------------------------------------|-------------------------------|----------|-------------------------|
| EXAMINER<br>INITIAL |     | PATENT NUMBER |   |   |            | ₹  | ISSUE<br>DATE |     | PATENTEE           |          | SUBCLASS                              | FILING DATE<br>IF APPROPRIATE |          |                         |
|                     | A.A | 6             | 8 | 6 | 4          | 2  | 3             | 2   | 03/2005            | Ueno     |                                       | 514                           | 9        | 12/20/1999              |
|                     | A.B | 6             | 8 | 7 | 2          | 3  | 8             | 3   | 03/2005            | Ueno     |                                       | 424                           | 78.04    | 01/30/2003              |
|                     | A.C |               |   |   |            |    |               |     |                    |          |                                       |                               |          |                         |
|                     | A.D |               |   |   |            |    |               |     |                    |          |                                       |                               |          |                         |
|                     | A.E |               |   |   |            |    |               |     |                    |          | · · · · · · · · · · · · · · · · · · · |                               |          |                         |
|                     | A.F |               |   |   |            |    | L             |     |                    |          |                                       |                               |          |                         |
|                     | A.G |               |   |   |            |    |               |     |                    |          |                                       |                               |          |                         |
|                     | A.H |               |   |   |            |    |               |     |                    |          |                                       |                               |          |                         |
|                     | A.I | <u> </u>      |   |   |            |    |               |     |                    |          |                                       |                               |          |                         |
|                     | A.J |               |   |   |            |    | _             |     |                    |          |                                       |                               |          |                         |
|                     | A.K |               |   |   | L          |    |               |     |                    |          |                                       |                               |          | <u> </u>                |
|                     |     |               |   |   | FC         | RE | IGN           | PAT | ENTS OR PU         | BLISHED  | FOREIGN PATENT A                      | PPLICATION                    | NS       |                         |
|                     |     |               |   |   | MEI<br>BEF |    |               | P   | UBLICATION<br>DATE |          | COUNTRY OR<br>ATENT OFFICE            | CLASS                         | SUBCLASS | TRANSLATION<br>(YES/NO) |

|     | DOCUMENT<br>NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION<br>(YES/NO) |
|-----|--------------------|------------------|--------------------------|-------|----------|-------------------------|
| A.L | WO2004/027027      | 04/01/2004       | WIPO                     | C12N  |          | N/A                     |
| A.M | WO2005/027906      | 03/31/2005       | WIPO                     | A61K  | 31/436   | N/A                     |
| A.N |                    |                  |                          |       |          |                         |
| A.O |                    |                  |                          |       |          |                         |
| A.P |                    |                  |                          |       |          | A                       |
| A.Q |                    |                  |                          |       |          |                         |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

| A.R | Ueno, Local Ophthalmic Agent For Treatment Of Ocular Inflammation, U.S. Patent Application Publication No. US2002/0187998, Published on 12/12/2002, Serial No. 10/120,515, Filed 04/12/2002 |
|-----|---|
| A.S | Ueno, Composition For Topical Administration, U.S. Patent Application Publication No. US2003/0044452, Published on 03/06/2003, Serial No. 10/187,013, Filed 07/02/2002                      |
| A.T | Ueno, Method Of Treating Dry Eye With A Macrolide Compound, U.S. Patent Application Publication No. US2004/0198763, Published on 10/7/2004, Serial No. 10/758,260, Filed 1/16/2004          |

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Sheet 2 (B) of 2

ATTY. DOCKET NO. SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE 10/667,161 (MODIFIED) PATENT AND TRADEMARK OFFICE PMAN/25 APPLICANT THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT Gholam Peyman **GROUP** (Use several sheets if necessary) **FILING DATE** 1615 September 19, 2003 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS **ISSUE** FILING DATE **EXAMINER** SUBCLASS IF APPROPRIATE **CLASS** INITIAL PATENT NUMBER DATE **PATENTEE** B.A B.B B.C B.D B.E B.F B.G B.H B.I B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION PUBLICATION COUNTRY OR** DOCUMENT **SUBCLASS** (YES/NO) **CLASS** PATENT OFFICE NUMBER DATE B.L B.M B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Cooper et al., Transscleral Delivery, U.S. Patent Application Publication No. US2005/0064010, Published on 3/24/2005, B.R Serial No. 10/945.682, Filed 9/20/2004 Ueno, Use Of FK506 And Analogues For Treating Allergic Diseases, U.S. Patent Application Publication No. B.S US2005/0070468, Published on 3/31/2005, Serial No. 10/495,425, Filed 11/20/2002 B.T DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.

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